

**GOVERNMENT OF THE DISTRICT OF COLUMBIA
BOARD OF ETHICS AND GOVERNMENT ACCOUNTABILITY**



FINANCIAL DISCLOSURE FINE WAIVER REQUEST

Pursuant to 3 DCMR 5708.1 a Financial Disclosure Statement filer may submit a fine waiver request to the Board of Ethics and Government Accountability so that the Board may consider whether to waive all or a portion of late or non-filer fines assessed to the filer.

The Board may consider the following good cause factors when deliberating on such matters:

- (a) The filer's history of compliance regarding the timely filing of Financial Disclosure Statements in previous years;
- (b) Proof of technological failure or error by the Board of Ethics and Government Accountability's Financial Disclosure e-filing web portal;
- (c) Proof that the filer was substantially misinformed about Financial Disclosure deadlines or procedures by the Office of Government Ethics;
- (d) A pending legal or ethical question before the Board of Ethics and Government Accountability, the outcome of which may have a direct impact on the filer's responses on the required filings;
- (e) Procedural or administrative error on the part of the Board of Ethics and Government Accountability;
- (f) Proof of serious physical impairment or a chronic medical condition during the time period immediately preceding the filing deadline;
- (g) Proof of extended leave, during which time the filer is not monitoring or is not required to monitor or respond to work correspondence; or
- (h) Other factors or extenuating circumstances

Filers who have incurred late-filing fees or other Financial Disclosure related fines from BEGA may request a waiver of the fines. Persons seeking a waiver should submit the request to **Lynn Tran, Counsel to the Board**, (lynn.tran@dc.gov) via email and include their **full name (as it appears on the filer designation notice)**, **the date**, **agency** (or former agency if you no longer work for the District), **position**, **salary**, **a contact email address**, **employment status** (e.g., current employee, on extended leave, separated from District employment, etc.), **the date of your FDS filing**, **an explanation for non-compliance or reasons why the fine should not be enforced**, and **any previous history of timely compliance**. Fine waiver requesters should copy the BEGA FDS Inbox (bega-fds@dc.gov) on the request.

All fine waiver requests are decided by our Board at its monthly board meeting. Our Board is not likely to grant an otherwise meritorious fine waiver request until the requester has come into compliance by filing their FDS form. **Fine waiver requests will not be considered after August 30th or 30 days after BEGA sends the filer a fine notice, whichever occurs later.** The Board shall issue a written Decision and Order for each waiver request at the monthly Board meeting that occurs next in time to the fine waiver request.

Please read the information provided on this form carefully and then email this fully completed form to lynn.tran@dc.gov and bega-fds@dc.gov or mail it to: **Board of Ethics and Government Accountability, 441 4th Street, NW, Suite 830 South, Washington, DC 20001.**

Your request will be considered by the Board at its next Board meeting.

NAME:

AGENCY, POSITION AND SALARY:

ADDRESS:

EMAIL ADDRESS:

EMPLOYEE STATUS (check one):

FOR INTERNAL USE ONLY:

DATE:

CASE NUMBER:

RESPONSE OF THE OFFICE OF GOVERNMENT ETHICS:

- The Office of Government Ethics **does not oppose** this request. See explanation below.
- The Office of Government Ethics **opposes** this request. See explanation below.

Explanation:

The OGE employee completing the above section must print their initials anywhere within the section.

FOR INTERNAL USE ONLY:

DATE:

DECISION:

- Good cause was shown, and the waiver request is, hereby, GRANTED.**
- No good cause was shown, and the waiver request is, hereby, DENIED.**

Explanation:

CHAIRPERSON PRINTED NAME

CHAIRPERSON SIGNATURE