

GOVERNMENT OF THE DISTRICT OF COLUMBIA
BOARD OF ETHICS AND GOVERNMENT ACCOUNTABILITY



Office of Government Ethics

PUBLIC FINANCIAL DISCLOSURE STATEMENT

Each designated employee subject to section 224 of the District of Columbia Board of Ethics and Government Accountability Establishment and Comprehensive Ethics Reform Act of 2011 (D.C. Official Code § 1-1162.24) is required to complete and submit this Public Financial Disclosure Statement (PFDS) to the Board of Ethics and Government Accountability annually, not later than May 15th of each year for the prior calendar year. Members of the Council are required to complete and submit this form to the Board of Ethics and Government Accountability bi-annually, not later than May 15th and November 15th of each year.

The reporting period for the Council's November 15th PFDS is the time period between January 1st and June 30th.

The reporting period for the Council's May 15th PFDS is the time period between July 1st and December 31st.

If the form is submitted as an Amendment, answer only the question to which there is a change in information. Please read the General Instructions and Glossary attached to this form and the FDS FAQs available at www.bega-dc.gov.

Prior Reporting Period for Which Filing is Made* January 2 - June 30, 2018

ORIGINAL AMENDMENT Date of Filing* Dec. 27, 2018

BEGA REC 2705018

Name: Mendelson Phil
Last First Middle

CONTACT INFORMATION

(This information is supplied so that BEGA can contact you regarding your filing. This information will not be made publicly available.)

Telephone*: Home: [REDACTED] Business: (202) 724-8032

Home Address*: [REDACTED]
(Street) (City, State, Zip Code)

REV. 10/2018

BOARD OF ETHICS AND GOVERNMENT ACCOUNTABILITY
441 4th Street NW, 830 South
Washington, D.C. 20001

PFDS

NON-DISTRICT EMPLOYMENT/BUSINESS

1. During the reporting period did you have any non-District employment or engage in any outside activity for which you received compensation of \$200 or more?

Note: Answer "yes" if you engaged in any occupation, trade, business, profession, or employment during the reporting period in which you were paid \$200 or more. Do not include your District employment.

- Yes
 No

If you answered "Yes," please list the employment or business below:

Position/Title: Landlord

Name of Employer: Self

Description of Work: Lease an apartment unit - passive income

Start Date Nov. 1, 1992 **End Date (if applicable)**

Income Received from Outside Business:

(Place a check mark in the box next to the applicable range. Attach a Supplemental Sheet if you have additional entries. Report other entries in the same format.)

- None (or less than \$1,001)
- \$1,001 - \$15,000
- \$15,001 - \$50,000
- \$50,001 - \$100,000
- \$100,001 - \$250,000
- \$250,001 - \$500,000
- \$500,001 - \$1,000,000
- Over \$1,000,000
- \$1,000,001 - \$5,000,000
- \$5,000,001 - \$25,000,000
- \$25,000,001 - \$50,000,000
- Over \$50,000,000

Clients

If you answered "yes," because you were paid by a client (as opposed to an employer) please identify which, if any, client had or has a contract with the District or who stands to gain a direct financial benefit from legislation that was pending before the Council during the reporting period:

Client Name: _____

2. During the reporting period was your spouse, registered domestic partner, or dependent child(ren) employed by a private entity or did they engage in any business endeavors for which they received compensation of \$200 or more?

Note: Answer "yes" if your spouse, domestic partner, or dependent child(ren) engaged in any non-government occupation, trade, business, profession, or employment during the reporting period and received income of \$200 or more for doing so.

- Yes
 No

If you answered "Yes", please list the employment or business below: Position/Title:

Name of Employer: _____

Description of Work _____

Start Date _____ End Date (if applicable) _____

Clients

If you answered "yes," because your spouse, domestic partner, or dependent child(ren) were paid by a client (as opposed to an employer) please identify which, if any, client had or has a contract with the District or who stands to gain a direct financial benefit from legislation that was pending before the Council during the reporting period:

Client Name: _____

3. During the reporting period did you serve in any unpaid position (without compensation) as an officer, director, partner, consultant, contractor, volunteer, member or in any other formal capacity of a non-government board or other outside entity?

- Yes
 No

If you answered yes:

Member, Board of Directors; Member, Transportation Planning Board;
Position/Title: Corporate President

Name of Employer: Metropolitan Washington Council of Governments

Start Date January 2018 End Date (if applicable) January 2019

4. During the reporting period did your spouse, registered domestic partner, or dependent child(ren) serve in any unpaid position (without compensation) as an officer, director, partner, consultant, contractor, volunteer, member or in any other formal capacity of a non-government board or other outside entity?

Yes
 No

If you answered yes:

Position/Title: _____

Name of Employer: _____

Start Date _____ End Date (if applicable) _____

5. During the reporting period did you have any agreements with a former or current employer, other than with the District of Columbia, for future payments or benefits (*such as separation pay, partnership buyouts, or pension or retirement pay*) or for future employment or for a leave of absence?

Yes
 No

If you answered yes:

Former/Current Employer: _____

Type of Agreement or Benefit: _____

6. During the reporting period did your spouse, domestic partner, or dependent child(ren) have any agreements with a former or current employer, other than with the District of Columbia, for future payments or benefits (*such as separation pay, partnership buyouts, or pension or retirement pay*) or for future employment or for a leave of absence?

Yes
 No

If you answered yes:

Former/Current Employer: _____

Type of Agreement or Benefit: _____

SECURITIES, HOLDINGS & INVESTMENTS

7. During the reporting period did you have a beneficial interest in or hold any **security** (“security” means stocks (any class), bonds (including savings bonds and tax exempt bonds), stock options, warrants, debentures, obligations, notes (not mortgage notes), mortgages (not on one’s home), investment interests in limited partnerships, REITs, and such other evidences of indebtedness and certificates of interest or participation in any profit-sharing agreement as are usually referred to as securities) **that exceeded in the aggregate \$1,000 or that produced income of \$200 or more?**

Note: You need not disclose mutual funds or other similar investment vehicles that own multiple securities and are managed by someone other than yourself.

- Yes
 No

If you answered yes, please list each security and/or beneficial interest you held below:

See Attachment

Total Value of Beneficial Interests or Securities today:

(Place a check mark in the box next to the applicable range. Attach a Supplemental Sheet if you have additional entries. Report other entries in the same format.)

- None (or less than \$1,001)
 \$1,001 - \$15,000
 \$15,001 - \$50,000
 \$50,001 - \$100,000
 \$100,001 - \$250,000
 \$250,001 - \$500,000
 \$500,001 - \$1,000,000
 Over \$1,000,000
 \$1,000,001 - \$5,000,000
 \$5,000,001 - \$25,000,000
 \$25,000,001 - \$50,000,000
 Over \$50,000,000

8. During the reporting period did your spouse, domestic partner, or dependent child(ren) have a beneficial interest in or hold any **security** (“security” means stocks (any class), bonds (including savings bonds and tax exempt bonds), stock options, warrants, debentures, obligations, notes (not mortgage notes), mortgages (not on one’s home), investment interests in limited partnerships, REITs, and such other evidences of indebtedness and certificates of interest or participation in any profit-sharing agreement as are usually referred to as securities) **that exceeded in the aggregate \$1,000 or that produced income of \$200 or more?**

Note: You need not disclose mutual funds or other similar investment vehicles that own multiple securities and are managed by someone other than your spouse, domestic partner, or dependent child(ren).

- Yes
 No

If you answered yes, please list each security and/or beneficial interest you held below:

Total Value of Beneficial Interests or Securities today:

(Place a check mark in the box next to the applicable range. Attach a Supplemental Sheet if you have additional entries. Report other entries in the same format.)

- None (or less than \$1,001)
 \$1,001 - \$15,000
 \$15,001 - \$50,000
 \$50,001 - \$100,000
 \$100,001 - \$250,000
 \$250,001 - \$500,000
 \$500,001 - \$1,000,000
 Over \$1,000,000
 \$1,000,001 - \$5,000,000
 \$5,000,001 - \$25,000,000
 \$25,000,001 - \$50,000,000
 Over \$50,000,000

9. During the reporting period did you owe any entity or person (other than a member of your immediate family) \$1,000 or more (excluding: mortgages on your personal residence, student loans, automobile loans, credit card accounts or other revolving credit, and other loans from a federal or state insured or regulated financial institution)?

- Yes
 No

If you answered yes:

Name of Entity or Person: _____

Type of Liability: _____

Amount of Liability:

(Place a check mark in the box next to the applicable range. Attach a Supplemental Sheet if you have additional entries. Report other entries in the same format.)

- None (or less than \$1,001)
- \$1,001 - \$15,000
- \$15,001 - \$50,000
- \$50,001 - \$100,000
- \$100,001 - \$250,000
- \$250,001 - \$500,000
- \$500,001 - \$1,000,000
- Over \$1,000,000
- \$1,000,001 - \$5,000,000
- \$5,000,001 - \$25,000,000
- \$25,000,001 - \$50,000,000
- Over \$50,000,000

10. During the reporting period did your spouse, domestic partner or dependent child(ren) owe any entity or person (*other than a member of their immediate family*) \$1,000 or more, (*excluding: mortgages on your personal residence, student loans, automobile loans, credit card accounts or other revolving credit, and other loans from a federal or state insured or regulated financial institution*)?

- Yes
- No

If you answered yes:

Name of Entity or Person: _____

Type of Liability: _____

Amount of Liability:

(Place a check mark in the box next to the applicable range. Attach a Supplemental Sheet if you have additional entries. Report other entries in the same format.)

- None (or less than \$1,001)
- \$1,001 - \$15,000
- \$15,001 - \$50,000
- \$50,001 - \$100,000
- \$100,001 - \$250,000
- \$250,001 - \$500,000
- \$500,001 - \$1,000,000
- Over \$1,000,000
- \$1,000,001 - \$5,000,000
- \$5,000,001 - \$25,000,000
- \$25,000,001 - \$50,000,000
- Over \$50,000,000

11. During the reporting period did you have an interest in any real property located in the District of Columbia *aside from your primary personal residence*, where your interest had a fair market value of more than \$1,000, or where the property produced income of \$200 or more?

- Yes
- No

Location of Real Property 3896 Porter Street, NW Apt. D-340

Purchase Date January 1985 (or earlier)

Date Sold _____

Value of Real Estate or Interest:

(Place a check mark in the box next to the applicable range. Attach a Supplemental Sheet if you have additional entries. Report other entries in the same format.)

- None (or less than \$1,001)
- \$1,001 - \$15,000
- \$15,001 - \$50,000
- \$50,001 - \$100,000
- \$100,001 - \$250,000
- \$250,001 - \$500,000
- \$500,001 - \$1,000,000
- Over \$1,000,000
- \$1,000,001 - \$5,000,000
- \$5,000,001 - \$25,000,000
- \$25,000,001 - \$50,000,000
- Over \$50,000,000

12. During the reporting period did your spouse, domestic partner, or dependent child(ren) have an interest in any real property located in the District of Columbia *aside from their primary personal residence*, where their interest had a fair market value of more than \$1,000, or where the property produced income of \$200 or more?

- Yes
- No

Location of Real Property _____

Purchase Date _____

Date Sold _____

Value of Real Estate or Interest:

(Place a check mark in the box next to the applicable range. Attach a Supplemental Sheet if you have additional entries. Report other entries in the same format.)

- None (or less than \$1,001)
- \$1,001 - \$15,000
- \$15,001 - \$50,000
- \$50,001 - \$100,000
- \$100,001 - \$250,000
- \$250,001 - \$500,000
- \$500,001 - \$1,000,000
- Over \$1,000,000
- \$1,000,001 - \$5,000,000
- \$5,000,001 - \$25,000,000
- \$25,000,001 - \$50,000,000
- Over \$50,000,000

REGULATED PROFESSIONS

13. Do you hold any professional or occupational licenses issued by the District of Columbia government (i.e., are you licensed to practice law in the District of Columbia, or are you licensed by the District's Department of Health, the District's Department of Consumer and Regulatory Affairs, the District's Department of Mental Health, the District's Department of Insurance Securities and Banking, the Metropolitan Police Department, the District's Occupational and Professional Licensing Administration, etc.)?

- Yes
- No

Type of License Issued (e.g., Real Estate License, D.C. Bar License, etc.) _____

Issuing Entity _____

14. Does your spouse, domestic partner, or dependent child(ren) hold any professional or occupational licenses issued by the District of Columbia government (i.e., are they licensed to practice law in the District of Columbia, or are they licensed by the District's Department of Health, the District's Department of Consumer and Regulatory Affairs, the District's Department of Mental Health, the District's Department of Insurance Securities and Banking, the Metropolitan Police Department, or the District's Occupational and Professional Licensing Administration, etc.)?

- Yes

No

Type of License Issued (e.g., Real Estate License, D.C. Bar License, etc.) _____

Issuing Entity _____

GIFTS

15. During the reporting period did you receive any gift(s) (see definition in glossary) from any person that has or is seeking to do business with the District, conducts operations or activities that are regulated by the District, or has an interest that may be favorably affected by the performance or nonperformance of your duties in the total amount or with a total value of \$100 or more?

Yes

No

Identity of Gift Giver _____ See Attachment (also see add'l information on next page)

Gift Giver's Company _____

Description of Gift _____

Date of Gift _____ Amount or Estimated Value _____

CERTIFICATION

I certify that I have:

- Not caused title to property to be placed in another person or entity for the purpose of avoiding the disclosure requirements on the preceding form;
- Filed and paid my income and property taxes;
- Diligently safeguarded the assets of the taxpayers and the District;
- Reported known illegal activity, including attempted bribes, to the appropriate authorities;
- Not been offered or accepted any bribes;
- Not directly or indirectly received government funds through illegal or improper means;
- Not raised or received funds in violation of federal or District law; and
- Not received or been given anything of value, including a gift, favor, service, loan gratuity, discount, hospitality, political contribution, or promise of future employment, based on any understanding that my official actions or judgment or vote would be influenced.

Additional Information or if you are unable to certify each of the above, please provide an explanation (i.e., I have been granted an extension to file

my income taxes):

My answer to question no. 15 regarding gifts is provided in the interest of disclosure without knowledge of whether the entities have or are seeking to do business with the District, conduct operations or activities that are regulated by the District, or have an interest that may be affected by my actions.

YOU MUST SIGN THIS FORM. Read the following carefully before you sign. I understand that the making of a false statement on this form or materials submitted with this form is punishable by criminal penalties pursuant to D.C. Official Code 22-2405 et seq. (2001). I understand that any information I give may be investigated as allowed by law or Mayoral order. I certify that, to the best of my knowledge and belief, all of my statements are true, correct, and complete.



Signature

Phil Mendelson

Dec. 26, 2018

Printed Name of Filer

Date

**Attachment to Public Financial Disclosure Statement
Phil Mendelson, Chairman of the Council
Due: November 15, 2018**

7. Securities, Holdings & Investments

<u>Shares</u>	<u>Security</u>		
15	American International Group, Inc.	500	Home Depot, Inc.
626	Ares Capital Corporation	100	Imperial Brands, PLC
1,837	AT&T, Inc.	766	JP Morgan Chase & Co.
1,500	Cedar Fair Master Limited Partnership	600	Merck & Co., Inc.
470	Chevron Corporation	239	Office Depot, Inc.
14	Citigroup, Inc.	100	The Travelers Companies, Inc.
1,333	Exxon Corporation	1,341	United States Steel Corporation
1,540	Ford Motor Company	230	Verizon Corporation
600	General Electric Company	477	Vodafone Group, PLC

15. Gifts

<i>Gift Giver</i>	<i>Giver's Company</i>	<i>Gift</i>	<i>Date of Gift</i>	<i>Estimated Value</i>
DC Public Education Fund	same	Ticket to DCPS Standing Ovation awards ceremony	2/8/18	\$500.00
Greater Washington Board of Trade	same	Ticket to annual meeting	2/8/18	\$250.00
Teach For America	same	Ticket to Annual Gala	2/21/18	\$1,000.00
Tom Gallagher	Edmondson & Gallagher	Ticket to Washington Performing Arts annual gala	3/10/18	\$750.00
Metropolitan Washington Council, AFL-CIO	same	Ticket to "An Evening With Labor"	3/10/18	\$150.00
Greater Washington Urban League	same	Ticket to Whitney M. Young Jr. Memorial Gala	3/16/18	\$375.00
Friends of Choice in Urban Schools	same	Ticket to FOCUS Gala	3/22/18	\$150.00
Holland & Knight LLP	same	2 tickets to Children's Ball for Children's National Foundation	4/6/18	\$500.00
Building Hope	same	Catholic Charities Gala 2018	4/7/18	\$500.00
Georgetown Public Affairs LLC	same	2 tickets to 2018 Victory Fund National Campaign Brunch	4/8/18	\$500.00
CareFirst BlueCross BlueShield	same	Two tickets to Wizards basketball game	4/10/18	\$350.00
Democrats for Education Reform - DC	same	1 ticket to America's Promise Alliance Annual Gala	4/18/18	\$1,250.00
Shepherd Elementary PTA	same	1 ticket to Annual Spring Fundraising Gala	4/12/18	\$125.00
Legal Aid Society of Washington DC	same	1 ticket to 2018 Servant of Justice Awards Dinner	4/24/18	\$175.00

<i>Gift Giver</i>	<i>Giver's Company</i>	<i>Gift</i>	<i>Date of Gift</i>	<i>Estimated Value</i>
Gay Men's Chorus of Washington D.C.	same	2 tickets to 15 th Annual Spring Affair	5/5/18	\$350.00
D.C. Trial Lawyers Foundation	same	2 tickets to Annual Awards Dinner	5/12/18	\$330.00
The National Theatre	same	2 tickets to "Waitress"	5/16/18	\$186.00
D.C. Building Industry Association	same	1 ticket to Annual Achievement Awards Dinner	5/17/18	\$500.00
U Street Parking Corp.	same	Invitation to 20 th Anniversary celebration	6/1/18	unknown
University of the District of Columbia	same	1 ticket to UDC School of Law Gala	6/6/18	\$250.00
Restaurant Association of Metropolitan Washington	same	2 tickets to annual RAMMY Awards Gala	6/10/18	\$650.00
Washington DC Police Foundation	same	1 ticket to 13 th Annual Law enforcement Awards Ceremony	6/14/18	\$200.00
Friends of Volta Park	same	1 ticket to Cocktail Party & Silent Auction	6/15/18	\$125.00
Greater Washington Hispanic Chamber of Commerce	same	1 ticket to Annual Gala	6/29/18	\$400.00