# GOVERNMENT OF THE DISTRICT OF COLUMBIA BOARD OF ETHICS AND GOVERNMENT ACCOUNTABILITY

Office of Government Ethics

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<u>In Re: R Lewis</u> <u>Case No.: 1706-001</u>

### NEGOTIATED DISPOSITION

Pursuant to section 221(a)(4)(A)(v) <sup>1</sup> of the Board of Ethics and Government Accountability Establishment and Comprehensive Ethics Reform Amendment Act of 2011 ("Ethics Act"), effective April 27, 2012, D.C. Law 19-124, D.C. Official Code §1-1161.01, et seq., the Office of Government Ethics (the "Office" or "OGE") hereby enters into this public negotiated settlement with the Respondent, Respondent agrees that the resulting disposition is a settlement of the above-titled action, detailed as follows:

### **I. FINDINGS OF FACT**

Respondent provided occasional, adjunct teaching services to the University of the District of Columbia ("UDC") as an Adjunct Professor Appointment, on a term basis, not to exceed the last date of each semester. He initially taught Intro to Nonprofit Leadership as an adjunct professor from Fall 2002 until Spring 2003 (Period One). More recently, he taught as an adjunct professor from Fall 2014 until Summer 2018 (Period Two). On January 1, 2018, Respondent was employed to teach Volunteer Management, which was held in the spring semester from January 8 – May 11, 2018. On May 16, 2018, Respondent was employed to teach an 8-weeks graduate-level course, which was held in the summer semester from May 14 – July 11, 2018. Respondent was not paid on a bi-weekly basis for any of these courses, instead he received payments at the mid-point and end of the semester for each course that he taught. Respondent did not receive medical, retirement, annual/sick leave, or other similar standard employment benefits for his teaching services.

On January 25, 2018, Respondent attempted to become a candidate for "D.C. Council At-Large" by bringing his completed "Declaration of Candidacy" form to the D.C. Board of Elections ("BOE"). Although Respondent submitted the form, he was advised by Millicent Green-Wright, an Election Services Specialist with BOE, to return in June 2018. Respondent indicated that Ms. Green-Wright told him to return in June 2018 because BOE did not consider individuals running as independents to be candidates until June 15, 2018, when they were permitted to pick up their petitions. Respondent also contends that he did not begin engaging in campaign-related activities until May 2018.

## II. NATURE OF VIOLATIONS

<sup>&</sup>lt;sup>1</sup> Section 221(a)(4)(A)(v) of the Ethics Act provides, in pertinent part, that "[i]n addition to any civil penalty imposed under this title, a violation of the Code of Conduct may result in the following:...Any negotiated disposition of a matter offered by the Director of Government Ethics, and accepted by the respondent, subject to approval by the Ethics Board."

According to OGE, Respondent violated at least one section of the District Code of Conduct, as set forth below:<sup>2</sup>

❖ D.C. Official Code § 1-1171.02(a)(3), the Local Hatch Act, provides that employees are prohibited from [filing] as a candidate for election to a partisan political office.<sup>3</sup>

Respondent violated the Local Hatch Act by filing as a candidate for the Office of "DC Council At-Large" while he was employed as an adjunct professor under a term appointment at UDC. 4 Respondent avers that he did not know that his term employment with UDC would lead to a designation as a District government employee. When OGE informed Respondent of the Local Hatch Act's prohibition on District employees filing as candidates for partisan political office, he notified UDC that although he was not currently scheduled to teach any courses at the University, he would refrain from doing so during his independent candidacy for DC Councilmember at-large.

## III. TERMS OF THE NEGOTIATED DISPOSITION

Despite Respondent's unawareness of his employment designation, Respondent acknowledges that his conduct violated the Local Hatch Act. Respondent agrees to pay a total fine in the amount of \$1,000.00 to resolve his violation of the District Code of Conduct, in accordance with the following terms and conditions:

1. Respondent agrees to submit a payment of \$1,000.00, by certified check or money order, made out to the D.C. Treasurer, delivered to and received by OGE at 441 4<sup>th</sup> Street NW, Suite 830 South, Washington, DC 20001;

2. Payment shall be made in two \$500.00 payments. The first payment shall be submitted to OGE no later than 30 days after the full execution of the document. The second \$500.00 payment shall be submitted to OGE within 30 days after receipt of this first payment. The total fine amount must be satisfied by December 5, 2018.

Respondent promises not to engage in such conduct in the future and agrees to accept this sanction for the purpose of closing the investigation and settling this matter. Additionally, Respondent promises not to provide teaching services to UDC while a candidate for the Office of "DC Council At-Large."

In consideration of Respondent's acknowledgement and agreement, OGE will seek no further remedy and will take no further action related to the above misconduct.

Respondent acknowledges and understands that this Negotiated Disposition is only binding upon himself and OGE in resolution of his alleged violations of the Code of Conduct that applies to District government employees and public officials. Respondent acknowledges and understands that OGE does not have the authority to bind any other District or federal government agency to this agreement, including but not limited to the District of Columbia Board of Elections ("BOE").

<sup>4</sup> D.C. Official Code § 1-1171.01(3) defines employee as "[a]ny individual paid by the District government from grant or appropriated funds for his or her services or holding office in the District of Columbia . . . ."

<sup>&</sup>lt;sup>2</sup> See D.C. Official Code § 1-1161.01 (7) (Defining statutes and rules that comprise the Code of Conduct).

<sup>&</sup>lt;sup>3</sup> D.C. Official Code § 1-1171.01(7) defines partisan political office as "any office in the District government for which any candidate is nominated or elected as representing a party any of whose candidates for Presidential elector received votes in the last preceding election at which Presidential electors were selected" which includes the office of the District of Columbia Council, Mayor, and Attorney General.

tiated Disposition, his conduct described hereinabov	that notwithstanding the terms of this Nego-
etti penantes by other government agencies who ar	e not bound by the terms of this agreement
whatsoever.	this agreement
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Remis	<u> </u>
Respondent	Date /
respondent	
Respondent also understands that if he fails to pay the \$ forth hereinabove, pursuant to Section 221 (a)(5)(A) of t (a)(5)(A)), the Ethics Board may file a petition in the \$ enforcement of this settlement and the accompanying agrees that this Negotiated Disposition is not just an adr factual admissions by him that may be used in any subsemay result from his failure to comply with this agreement	Superior Court of the District of Columbia for Board Order assessing the fine. Respondent mission of wrongdoing, but constitutes various equent enforcement or judicial proceeding that
Respondent further understands that if he fails to adhere its sole option, recommend that the Ethics Board nullify ial hearing on this matter, after which the Board may im (\$5,000 per violation) as provided in the Ethics Act for time, foregoing requesting that the Ethics Board hold at Respondent agrees to waive any statute of limitation de that manner as a result of Respondent's breach of this agree.	this settlement and hold an open and adversar- spose sanctions up to the full statutory amount each violation. <sup>5</sup> Because the Office is, at this is open and adversarial hearing on this matter, fenses should the Board decide to present in
The mutual promises outlined herein constitute the entire any provision of this agreement is a breach rendering the agree to the terms outlined herein.	e agreement in this case. Failure to adhere to entire agreement void. By our signatures, we
Respondent	
Reliai	1 72.5
Brentton Wolfingbarger	10-5-2018
Director of Government Ethics	Date
This Agreement shall not be deemed effective unless and Government Accountability, as demonstrated by the signar	until it is approved by the Board of Ethics and ture of the Chairman below.
APPROVED:	
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Julex	DC+4 2018
Tameka Collier	Date
Chairperson, Board of Ethics and Government Accountability	ility

Respondent further acknowledges and understands that notwithstanding the terms of this Nego-

<sup>&</sup>lt;sup>5</sup> Section 221 (a)(1) (D.C. Official Code § 1-1162.21 (a)(1)).

## GOVERNMENT OF THE DISTRICT OF COLUMBIA BOARD OF ETHICS AND GOVERNMENT ACCOUNTABILITY



IN	RE:	R		Lewis,
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Respondent

CASE No. 1706-001

#### **ORDER**

Based upon the mutual representations and promises contained in the Negotiated

Disposition approved by the Board herein on the 4th day of October, 2018, and upon the entire record in this case; it is, therefore

ORDERED that Respondent pay a civil penalty in the amount of ONE THOUSAND DOLLARS (\$1000.00).

This Order is effective upon approval by the Board of Ethics and Government Accountability, as demonstrated by the signature of the Chairperson below.

Tameka Collier

Chairperson, Board of Ethics and Government Accountability

Date Date