

GOVERNMENT OF THE DISTRICT OF COLUMBIA  
BOARD OF ETHICS AND GOVERNMENT ACCOUNTABILITY  
WASHINGTON, D.C. 20001

Year 2013  
(Reporting Period July 1 - Dec. 31, 2012)

Original  
 Amendment

LOBBYIST ACTIVITY REPORT \*

(See next page for instructions)

ID# LOB000122495

Type of Report:  January 10th If you are filing a January Report, please indicate whether you intend to lobby in the upcoming calendar year.  Yes  No

July \_\_\_\_\_

1. (a) Registrant's Name Express Scripts Holding Co. (b) Daytime Phone Number 202-383-7983

(c) Permanent Address 300 New Jersey Ave NW, #600 Washington, DC 20001  
(Street Address) (City, State, Zip Code)

(d) Temporary Address (while lobbying)  
(Street Address) (City, State, Zip Code)

2. Lobbyist (s) Working for Registrant: Attach a Supplemental Sheet if additional space is needed.

(a) Name Jonah C. Houts (b) Name \_\_\_\_\_  
Address 300 New Jersey Ave NW, #600 Address \_\_\_\_\_  
(Street Address) (Street Address)  
Washington, DC 20001 \_\_\_\_\_  
(City, State, Zip Code) (City, State, Zip Code)  
Daytime Phone Number 202-383-7983 Daytime Phone Number \_\_\_\_\_

3. Person Compensating Registrant

(a) Name Express Scripts Holding Co. (b) Daytime Phone Number 202-383-7983

(c) Address 300 New Jersey Ave NW, #600 Washington, DC 20001  
(Street Address) (City, State, Zip Code)

(d) Nature of Business Pharmacy Benefits Management

4. Terms of Compensation: (a) \$200,000/yr (b) Indefinite  
Salary Duration of Employment

5. Identify matter(s) by subject and formal designation on which the lobbyist/registrant expects to lobby. Attach a Supplemental Sheet if additional space is needed.

Pharmacy Benefits Management; Health Care; Managed Care Organizations; pharmaceuticals

\* REMINDER – Each new or previously registered Lobbyist must file a Lobbyist Registration Form by January 15<sup>th</sup> of each year.

6. Identify the official and title, if known, in the Executive or Legislative Branch with whom the registrant has had oral or written communication during the reporting period relating to lobbying activities, and the date that communication was made. Attach a Supplemental Sheet if additional space is needed.

Name <u>None</u>	Date _____
Name _____	Date _____

- 7. Total compensation/receipts paid to the Lobbyist for lobbying during the reporting period: \$ 12,498.00  
(Schedule A)
- 8. Total of other compensation/receipts received for lobbying services and compensation paid to others: \$ 0.00  
(Schedule A-1)
- 9. Total amount of Loans received by the Lobbyist in connection with lobbying during the reporting period: \$ 0.00  
(Schedule A-2)
- 10. Total receipts (Add Lines 7, 8, and 9) \$ 12, 498.00
- 11. Total of expenditures made for purposes of lobbying during the reporting period: \$ 0.00  
(Schedule B)
- 12. Total of other expenditures related to lobbying activities: \$ 0.00  
(Schedule B-1)
- 13. Total expenditures (Add Lines 11 and 12) \$ 0.00

**BOARD OF ETHICS AND GOVERNMENT ACCOUNTABILITY  
 LOBBYIST/EMPLOYEE LOBBYIST'S ACTIVITY REPORT PAGE \_\_\_ OF \_\_\_  
 SCHEDULE A – COMPENSATION/RECEIPTS PAID TO THE LOBBYIST FOR LOBBYING:**

YEAR: 2013

Type of Report:  January \_\_\_\_\_  July \_\_\_\_\_

Period Covering: July 1, 2012 through December 31, 2012

LOBBYIST/EMPLOYEE LOBBYIST'S NAME: Registrant: Express Scripts Holding Co. Lobbyist: Jonah C. Houts

COMPENSATION/RECEIPTS PAID FOR LOBBYING (AMOUNTS MAY BE ROUNDED OFF TO WHOLE DOLLARS)					TOTAL THIS PERIOD	CUMULATIVE TOTAL
EMPLOYER'S NAME, ADDRESS AND TELEPHONE NUMBER (FEES/COMPENSATION)						(FEES/COMPENSATION)
Express Scripts Holding Co. 300 New Jersey Ave NW, #600 Washington, DC 20001 202-383-7983						
FEES/RETAINER	COMPENSATION				\$ 12,498.00	\$ 14,581.00
\$	\$ 12,498.00					
EMPLOYER'S NAME, ADDRESS AND TELEPHONE NUMBER (FEES/COMPENSATION)					TOTAL THIS PERIOD	CUMULATIVE TOTAL
FEES/RETAINER	COMPENSATION				\$	\$
\$	\$					
EMPLOYER'S NAME, ADDRESS AND TELEPHONE NUMBER (FEES/COMPENSATION)					TOTAL THIS PERIOD	CUMULATIVE TOTAL
FEES/RETAINER	COMPENSATION				\$	\$
\$	\$					
EMPLOYER'S NAME, ADDRESS AND TELEPHONE NUMBER (FEES/COMPENSATION)					TOTAL THIS PERIOD	CUMULATIVE TOTAL
FEES/RETAINER	COMPENSATION				\$	\$
\$	\$					
<b>TOTAL RECEIPTS RECEIVED FOR LOBBYING (CARRY TOTAL FORWARD TO LINE 7)</b>					\$ 12,498.00	\$ 14,581.00

IF MORE SPACE IS NEEDED, CHECK BOX AND ATTACH SUPPLEMENTAL SHEET  
 (SEE NEXT PAGE FOR INSTRUCTIONS)

**BOARD OF ETHICS AND GOVERNMENT ACCOUNTABILITY  
 LOBBYIST/EMPLOYEE LOBBYIST'S ACTIVITY REPORT PAGE \_\_\_ OF \_\_\_  
 SCHEDULE A-1 -- LOBBYIST COMPENSATION/RECEIPTS RECEIVED FOR  
 LOBBYIST SERVICES AND COMPENSATION PAID TO OTHERS:**

YEAR: 2013

Type of Report:  January 10th  July \_\_\_\_\_

Period Covering: July 1, 2012 through December 31, 2012

**LOBBYIST/EMPLOYEE LOBBYIST'S**

NAME: Registrant: Express Scripts Holding Co. Lobbyist: Jonah C. Houts

OTHER COMPENSATION/RECEIPTS RECEIVED BY THE LOBBYIST AND/OR LOBBYIST EMPLOYEE AND PAID BY THE COMPENSATING REGISTRANT FOR LOBBYIST ACTIVITIES IN THE DISTRICT						TOTAL THIS PERIOD	CUMULATIVE TOTAL
EMPLOYER'S NAME, ADDRESS, AND TELEPHONE NUMBER							
OFFICE EXPENSES	ADVERTISING & PUBLICATION EXP	PERSONAL EXPENSES	TRAVEL EXPENSES	COMPENSATION TO OTHER	OTHER EXPENSES		
\$	\$	\$	\$	\$	\$	\$	\$
EMPLOYER'S NAME, ADDRESS, AND TELEPHONE NUMBER							
OFFICE EXPENSES	ADVERTISING & PUBLICATION EXP	PERSONAL EXPENSES	TRAVEL EXPENSES	COMPENSATION TO OTHER	OTHER EXPENSES		
\$	\$	\$	\$	\$	\$	\$	\$
EMPLOYER'S NAME, ADDRESS, AND TELEPHONE NUMBER							
OFFICE EXPENSES	ADVERTISING & PUBLICATION EXP	PERSONAL EXPENSES	TRAVEL EXPENSES	COMPENSATION TO OTHER	OTHER EXPENSES		
\$	\$	\$	\$	\$	\$	\$	\$
EMPLOYER'S NAME, ADDRESS, AND TELEPHONE NUMBER							
OFFICE EXPENSES	ADVERTISING & PUBLICATION EXP	PERSONAL EXPENSES	TRAVEL EXPENSES	COMPENSATION TO OTHER	OTHER EXPENSES		
\$	\$	\$	\$	\$	\$	\$	\$
<b>TOTAL OTHER COMPENSATION/RECEIPTS RECEIVED FOR LOBBYING</b>						<b>\$ 0.00</b>	<b>\$ 0.00</b>
<b>(CARRY TOTAL FORWARD TO LINE 8)</b>							
<input type="checkbox"/> IF MORE SPACE IS NEEDED, CHECK BOX AND ATTACH SUPPLEMENTAL SHEET A-1 (SEE NEXT PAGE FOR INSTRUCTIONS)							

REV. 12/2012

BEGA Form

**INSTRUCTIONS FOR SCHEDULE A-1**

1. Enter the Type of Report and the covering period for this report. All activity from the ending coverage date of the last report filed must be included.

2. Provide the name of the Compensating Registrant who contracted with the lobbyist or who employed an in-house employee lobbyist, person/organization to provide lobbying services
3. Provide information relative to all other compensation received by the lobbyist, in-house lobbyist or by any person/organization providing lobbying services for the Compensating Registrant.
4. Other compensation received by the lobbyist, in-house employee lobbyist, person/organization must be summarized (by type) and reported during the reporting period, in which the compensation was received and used in payment for lobbying services including the following:
  - (A) Office expenses are those expenses incurred by the lobbyist or any other person/organization for office overhead and operating expenses.
  - (B) Personal expenses are those expenses incurred by the lobbyist, in-house employee lobbyist, any person and/or organization in which personal funds were expended for the benefit of an employee and/or official of the District of Columbia. These expenses must be reported regardless of whether or not the Compensating Registrant reimbursed the lobbyist, in-house employee lobbyist, any person or organization.
  - (C) Advertising and publication expenses are those expenses incurred by the lobbyist, in-house employee lobbyist, person/and or organization that provided informational material (e.g., books, reports, pamphlets, calendars, and any other material used in connection with lobbying activities).
  - (D) Travel expenses are those travel expenses (ground or air transportation and lodging) incurred by the lobbyist, in-house lobbyist, any person/and or organization for the purpose of influencing legislative or administrative decision, or on each piece of local legislation.
  - (E) Compensation to others are those payments made by a lobbyist and/or in-house employee lobbyist who subcontracts with another lobbying firm, organization or person to complete lobbying activities on behalf of the Compensating Registrant.
  - (F) Other expenses are those expenses paid to influence legislative or administrative actions in the District of Columbia. Examples of other expenses are:
    - Payments made to a lobbying coalition;
    - Compensation paid to non-lobbyist employees engaging in or urging others to engage in direct communication, and providing research services and preparing materials to be used in direct communication or in connection with soliciting or urging others to engage in direct communication;
    - The payment of expenses incurred by a lobbyist or in-house employee lobbyist but not paid directly to the lobbyist or in-house lobbyist (e.g., a direct payment to a credit card company);
    - The payment of expenses incurred for goods or services used by the lobbyist or in-house employee lobbyist to support or assist a lobbyist in connection with his or her activities as a lobbyist, such as legislative bill service, periodicals, etc;
    - The payment of any other expenses which would not have been incurred but for the lobbyist activities to influence or attempt to influence legislative or administrative action, including payments to expert witnesses, etc.
5. Total expenditures for the reporting period must be shown if relative to lobbying activities by a lobbyist or an in-house employee lobbyist and person/organization contracted to provide lobbying services.
6. The cumulative to-date column must include the aggregate total of all other compensation received for the two reporting period within a calendar year. (January and July)
7. The registrant must maintain detailed records of all receipts and expenditures by each employee, agent, or sub-agent.
8. If additional space is needed, use a supplemental sheet and include with Schedule A-1.

**SCHEDULE A-2 LOANS RECEIVED BY THE LOBBYIST**

YEAR: 2013

Type of Report:  January 10th  July \_\_\_\_\_

Period Covering: July 1, 2012 through December 31, 2012

LOBBYIST/EMPLOYEE LOBBYIST NAME: \_\_\_\_\_ Registrant: Express Scripts Holding Co. Lobbyist: Jonah C. Houts

LOANS RECEIVED IN CONNECTION WITH LOBBYING ACTIVITY.						
EMPLOYER'S NAME, ADDRESS AND TELEPHONE NUMBER					TOTAL LOANS THIS PERIOD TOTAL	CUMULATIVE LOAN TOTAL
LOAN						
\$	\$	\$	\$		\$	\$
EMPLOYER'S NAME, ADDRESS AND TELEPHONE NUMBER					TOTAL LOANS THIS PERIOD TOTAL	CUMULATIVE LOAN TOTAL
LOAN						
\$	\$	\$	\$		\$	\$
EMPLOYER'S NAME, ADDRESS AND TELEPHONE NUMBER					TOTAL LOANS THIS PERIOD TOTAL	CUMULATIVE LOAN TOTAL
LOAN						
\$	\$	\$	\$		\$	\$
EMPLOYER'S NAME, ADDRESS AND TELEPHONE NUMBER TOTAL					TOTAL LOANS THIS PERIOD TOTAL	CUMULATIVE LOAN TOTAL
LOAN						
\$	\$	\$	\$		\$	\$
<b>TOTAL LOANS RECEIVED FOR THE PERIOD (CARRY TOTAL FORWARD TO LINE 9)</b>					\$ 0.00	\$ 0.00

IF MORE SPACE IS NEEDED, CHECK BOX AND ATTACH SUPPLEMENTAL SHEET  
(SEE REVERSE SIDE FOR INSTRUCTIONS)

1. Enter the Type of Report and the covering period for this report. All activity from the ending coverage date of the last report filed must be included.
2. Provide the name of the lobbyist or in-house employee lobbyist, person/organization to provide lobbying services.
3. Provide information relative to any loans received by the lobbyist, in-house employee lobbyist, person/organization to any official and/or employee of the District of Columbia related to any lobbying activities.
  - (A) Include all loans received for the period.
  - (B) The cumulative to-date column must only include the aggregate total value of all loans received for the two reporting periods within a calendar year. (January and July).
4. The registrant must maintain detailed records of all receipts and expenditures by each employee, agent or sub-agent.
5. If additional space is needed, use a supplemental sheet and include with Schedule A-2.

**BOARD OF ETHICS AND GOVERNMENT ACCOUNTABILITY**  
**COMPENSATING REGISTRANT'S ACTIVITY REPORT PAGE \_\_\_ OF \_\_\_**  
**SCHEDULE B – EXPENDITURES PAID BY COMPENSATING REGISTRANT TO THE LOBBYIST**  
**YEAR: 2013**

Type of Report:  January 10th  July \_\_\_\_\_

Period Covering: July 1, 2012 through December 31, 2012

COMPENSATING REGISTRANT'S NAME: Express Scripts Holding Co.

PAYMENTS MADE IN CONNECTION WITH LOBBYING ACTIVITIES

ACTIVITY EXPENSES INCURRED, OR PAID BY THE COMPENSATING REGISTRANT TO THE LOBBYIST AND/OR IN-HOUSE EMPLOYEE LOBBYIST FOR ACTIVITIES RELATIVE TO LOBBYING ACTIVITIES IN THE DISTRICT OF COLUMBIA.

DATE	NAME AND ADDRESS OF PAYEE	PURPOSE OF COMPENSATION	TOTAL THIS PERIOD	CUMULATIVE TOTAL
			\$	\$
			\$	\$
			\$	\$
			\$	\$
			\$	\$
			\$	\$
			\$	\$
			\$	\$
			\$	\$
<b>TOTAL EXPENDITURES PAID FOR LOBBYING (CARRY TOTAL FORWARD TO LINE 11)</b>			\$ 0.00	\$ 0.00

IF MORE SPACE IS NEEDED, CHECK BOX AND ATTACH SUPPLEMENTAL SHEET (SEE NEXT PAGE FOR INSTRUCTIONS)

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**INSTRUCTIONS FOR SCHEDULE B**

The term "EXPENDITURE" includes any payments made relative to lobbying activities.

1. Enter the Type of Report and the covering period for this report. All activity from the ending coverage date of the last report must be included.
2. You must itemize all expenses arranged, incurred, and paid by you during the period.

3. Purpose of Compensation describes the reason for the compensation (e.g., proposed legislation, pending decision, etc.).
4. Total expenditures for the reporting period must be shown if relative to lobbying activities by a Lobbyist, an in-house employee lobbyist, person and/or organization contracted to provide lobbying services.
5. The *cumulative to-date column* must include the aggregate total of all expenditures that were paid by the Compensating Registrant for lobbying activities to a lobbyist, an in-house employee lobbyist, person and/or organization contracted to provide lobbying services.
6. If additional space is needed, use a supplemental sheet and include with Schedule B.

**BOARD OF ETHICS AND GOVERNMENT ACCOUNTABILITY**  
**LOBBYIST/COMPENSATING REGISTRANT'S ACTIVITY REPORT PAGE\_\_OF \_\_**  
**SCHEDULE B-1 -- OTHER EXPENDITURES**  
**YEAR: 2013**

Type of Report:  January 10th  July \_\_\_\_\_



**BOARD OF ETHICS AND  
GOVERNMENT  
ACCOUNTABILITY  
LOBBYIST ACTIVITY REPORT  
SCHEDULE C**      YEAR 2013  
(See next page for Instructions)

Type of Report:  January 10th       July \_\_\_\_\_

Covering Period July 1, 2012 through December 31, 2012

LOBBYIST/COMPENSATING'S REGISTRANT'S NAME: Registrant: Express Scripts Holding Co. Lobbyist: Jonah C. Houts

DATE	NAME	NATURE OF EMPLOYMENT WITH REGISTRANT
None		

I, the undersigned, declare under oath and on penalty of perjury that the statements contained on this Lobbyist Activity Report are to the best of my knowledge, true, correct, and complete.

*Jonah C. Houts*

Signature of Registrant (or, if not an individual, an authorized officer or agent\* of registrant must sign).

\*The lobbyist retained by contract to provide lobbying services may not sign on behalf of the compensating registrant.

Subscribed and sworn to before me on this 7<sup>th</sup> day of January, 2013

My commission Expires: 4/30/2017

*Paul J. Field*  
Notary Public

